

Office of the Vice President for Research

E-Newsletter
Spring 2018

Letter from the Vice President for Research

Dear Colleagues,

I open this Spring edition of our Research News with an important reminder to all investigators and their support staff about the upcoming transition of our proposal submission system. As noted in the last newsletter, Coeus is being replaced by Huron Consulting's Click Portal. Accessed through myResearch, the new Grants module offers added functionality and ease of use compared to Coeus, which will cease operation on June 30th, 2018. Be sure to read the guidance provided in this newsletter to understand the timing of the transition and to learn about training in the use of the new Grants module. Investigators will also need to keep proposal deadlines in mind and plan in advance to avoid problems. Other modules, including Agreements, IRB, IACUC, and Biosafety, will be added to myResearch later this year.



I also want to remind investigators that May is the designated month during which annual conflict of interest disclosures must be updated through myResearch. The experience we've gained from using this new system over the past year highlights the benefits to faculty, who now submit updates only once a year (during May).

These changes are among many being introduced to streamline procedures for investigators. The Facilitating Researcher Success [report](#) provides guidance for reducing administrative hurdles associated with sponsored research activities. Other notable changes include a new service, *Pivot*, for alerting investigators of funding opportunities. To get the most benefit from *Pivot*, investigators need to complete a brief profile to match their research interests. Staff in the Office of Proposal Development can assist faculty who have questions.

In previous newsletters I have noted opportunities for increasing our funding opportunities from agencies within the Department of Defense. Some Stony Brook investigators already participated in a meeting with the DoD Director of Basic Research. Presently, the Office of Proposal Development is coordinating a day-long event with RF Central and SUNY Central in the DC area, focused on expanding DoD funding opportunities. This networking event will include faculty from other SUNY campuses, which may also enable useful collaborations.

Finally, I'm pleased to report that our sponsored research expenditures continue to increase through the third quarter of FY18. Also encouraging is the Omnibus spending bill passed in March, which signals Congress' commitment to continue funding fundamental research despite efforts by the White House to curtail some programs. The spending package includes notable increases in funding to NIH, NSF, DOE, and NASA budgets, which offer opportunities for Stony Brook investigators to increase grant funding. The Research Office is also working closely with Stony Brook's

academic leadership to identify mechanisms for recognizing faculty for exceptional efforts in obtaining sponsored research funding, which lead to further growth of Stony Brook's research enterprise.

As always, feel free to contact me with any suggestions or concerns that you may have.

Regards,

Richard J. Reeder

Vice President for Research

Upcoming Events

myResearch Grants – Training (May 2018)

myResearch Grants – Proposal Creation – Classroom Training
Office of Sponsored Programs

Please join the Office of Sponsored Program's training team as they guide you through the myResearch Grants proposal creation process and more!

myResearch Grants Training is offered so that users can become familiar with the system before the official product launch. These sessions will provide hands-on training of the myResearch Grants proposal and endorsement process, including budget preparation and credit split. Please see the News in the DoIT EAI (Research) section below for additional details about myResearch Grants.

Training sessions located in Melville Library

Dates: 05/11/2018; 05/15/2018; 05/17/2018; 05/22/2018; 05/24/2018; 05/29/2018; 05/31/2018

Times: 9:00 AM – 11:00 AM or 1:00 PM – 3:00 PM

Where: Melville Library C1640B

To sign up for these training classes, or any other we offer, click this [link](#).

Training sessions located in HSC

Dates: 05/18/2018; 05/30/2018

Times: 9:30 AM – 11:30 AM or 1:00 PM – 3:00 PM

Where: HSC Computer Lab (HST 3-149)

To sign up for these training classes, or any other we offer, click this [link](#).

News from Research IT

DoIT EAI (Research)

The Office of Sponsored Programs (OSP) and DoIT EAI (Research) have been working on implementing **myResearch Grants** that will soon replace **Coeus**.



myResearch Grants will go live in mid-May 2018. In order to prepare for the transition to the new proposal system, please be aware of the following:

Important Dates and Considerations



Coeus Deactivation

How should I Prepare and Submit my proposal?	
If proposal will/must be submitted to sponsor:	Prepare, route and submit proposals through:
BEFORE July 1 st	COEUS
On or AFTER July 1 st	myResearch Grants (*New*) Available starting May 15. Weekly classroom training beginning May 1 st .

- On June 30th, **Coeus** will be discontinued and no longer accessible for either submission or viewing.
- In order to provide OSP with a proper phase out, **Coeus** proposals should be submitted by June 22nd.
- Important documents that were stored in **Coeus** and not saved elsewhere should be downloaded before June 30th.

Training Available

- Training on **Coeus** has ended.

Regular ongoing training on **myResearch Grants** has started (See Upcoming Events above for information about training sessions). Sessions will be held in the Frank Melville Jr. Memorial Library twice a week during May and June. Additional sessions will be held in HSC. [Registration](#) is now open.

More Information

myResearch Implementation Project can be found [here](#).

Detailed communication on the implementation of myResearch Grants will be shared through the OVPR Newsletter, OSP Bulletin and email to Chairs, Deans and Center Directors.

Contacts

Email: ovpr_myresearchgrants@stonybrook.edu

News from OPD

Office of Proposal Development

PIVOT - New Funding Opportunities Database

A major recommendation of the task force on proposal development and funding opportunities was the need to improve our real-time access to funding opportunities both federal and nonfederal, particularly foundations and industry.



The Office of the Vice President for Research is pleased to sponsor a university-wide subscription to PIVOT, by ProQuest. PIVOT is a comprehensive web-based database that encompasses funding opportunities and fellowships from government agencies and philanthropic foundations, as well as industry, that span over 80 countries. The subscription will be available to anyone with a stonybrook.edu email address and offers unlimited search criteria. The Office of Proposal Development has started the process of developing profiles for each faculty member using information that currently exists in the Faculty Profile Database. Our goal is to make the transition from our current Faculty Funding Database to PIVOT seamless so that faculty can find new and exciting funding opportunities right

away. We will be reaching out to departments to schedule training sessions for faculty and staff. For more information, or to schedule a one-on-one training session, please contact [Maureen Piekos](#), Research Development Specialist in the Office of Proposal Development.

Germination Space: A Place to Think Big

The **Germination Space Program** will provide researchers with the opportunity to join a group of interdisciplinary peers to formulate and refine transformative research questions through a series of in-person and online interactions. **Seed funding grants of \$10,000 each** – with funds provided by Stony Brook University – will be provided to collaborative groups to pursue the development of collaborative research proposals in the area of Engineering-Driven Medicine (EDM). See [Call for Participation](#) for eligibility criteria, additional program details and instructions for submitting applications. Applications are due on **May 21, 2018, by 5pm**.

NSF CAREER Award Workshop

The Office of Proposal Development will be providing support services for NSF CAREER Award Applications in the areas of Education Plans/Broader Impacts, budget development, institutional requirements, and editing/proofreading. In order to receive support from the Office of Proposal Development in developing your NSF CAREER Applications for July 2018, please complete this [registration form](#) no later than Monday, May 14th. The prestigious National Science Foundation CAREER Award is a great target for early career faculty eligible for NSF funding. You may review the program eligibility requirements [here](#).

'Write Winning Grant Proposals' Workshop

This year's grant writing workshop focusing on NIH and NSF proposals will be held on Tuesday, September 4, 2018, from 8:30 am - 5:00 pm. Register [here](#) for this event. Space is limited, and preference will be given to first-time attendees.

Looking for Seed Funding Opportunities?

OPD has worked with the Stony Brook University Colleges and Schools to compile a comprehensive list of all the Seed Funding Opportunities available on campus. Visit our new [Stony Brook Seed Funding Opportunities](#) page to view the list including summary descriptions and eligibility criteria.

Keep your eyes open for the **upcoming launch of the Stony Brook University seed funding program**. This upcoming program will aim to give Stony Brook University faculty a competitive edge in securing external research funds by supporting and fostering innovative scientific and scholarly activities that will lead to the development of highly competitive proposals for external funding.

News from OTLIR

Office of Technology Licensing and Industry Relations

Exclusive Option Agreement Executed with N4W, Inc.

N4W, Inc., a start-up corporation in the US, has executed an option agreement for a portfolio of University patents related to liquid filtration. Our microfiltration, nanofiltration, and ultrafiltration membranes have many applications including industrial water purification, water and wastewater treatment, and bio-process.



PowerBridgeNY Validation Grant Recipient

Congratulations to Dr. Alex Orlov, and his team SolarClear, who was one out of only four recipients of a \$150K validation grant from PowerBridgeNY for a self-cleaning technique to remove dust from solar panels. The award will allow SolarClear to conduct customer discovery interviews, develop a prototype or conduct in-field testing to move the technology closer to commercialization via a startup or license.

Master Collaboration and Option Agreement Executed with MicroRid Technologies, Inc.

MicroRid Technologies entered into a master collaboration and exclusive option agreement with Stony Brook University to develop and commercialize novel antifungal agents. MicroRid is a local startup company founded by Dr. Maurizio Del Poeta in the Department of Molecular Microbiology and Molecular Genetics and Dr. Brian McCarthy, one of the Center for Biotechnology's BioEntrepreneurs in Residence. The company was formed around the research led by Dr. Del Poeta identifying novel targets, assays and compositions for the development of new antifungal agents.

The Stony Brook University Chapter of the National Academy of Inventors (NAI-SBU)

The Stony Brook University Chapter of National Academy of Inventors (NAI-SBU) held its Annual Meeting and Induction Ceremony on May 1, 2018, in the Charles B. Wang Center. At the event, 15 new members and 3 honorary members were inducted. In addition, 3 young academic inventors were recognized for their accomplishments. The list of inductees/awardees are as follows:

NEW MEMBERS 2018 (Total 15):

John Brittelli, Clinical Assistant Professor, School of Health Technology Management

Dale Deutsch, Professor, Department of Biochemistry and Cell Biology

Dennis Galanakis, Professor, Department of Pathology

Arthur Grollman, Distinguished Professor, Department of Pharmacology

Robert Grubbs, Professor, Department of Chemistry

Jingfang Ju, Professor, Department of Pathology

Imin Kao, Professor, Department of Mechanical Engineering

Hsi-Ming Lee, Research Assistant Professor, Department of Oral Biology and Pathology

Craig Lehmann, Professor and Dean, School of Health Technology Management

Devinder Mahajan, Professor, Department of Material Science and Chemical Engineering

Klaus Mueller, Professor, Department of Computer Science
Thomas Robertazzi, Professor, Department of Electrical and Computer Engineering
Satya Sharma, Professor, Department of Mechanical Engineering
Balaji Sitharaman, Associate Professor, Department of Biomedical Engineering
Arnold Wishnia, Research Associate Professor Emeritus, Department of Chemistry

HONORARY MEMBERS:

Peter Bernstein, Scully Scott Murphy & Presser PC
Ronald Baron, Hoffmann & Baron, LLP
Donna Tumminello, Assistant Director, Office of Technology Licensing and Industry Relations

YOUNG ACADEMIC INVENTOR'S AWARDEES 2018:

Amirhossein Goldan, Department of Radiology
Dmytro Gudkov, Department of Engineering
Ming-Yu Ngai, Department of Chemistry

News from ORC Office of Research Compliance

Conflict of Interest

In accordance with the Investigator Conflict of Interest Policy (P209), you will receive an email notification from myResearch to submit your Annual Conflict of Interest Certification (Disclosure) on May 1st. This procedure is simple and quick if there aren't any changes. Required Action: Login to myResearch and complete the Annual Conflict of Interest Certification (Disclosure) between May 1st and May 31st.

As a reminder, all of the following individuals are required to submit their Annual Conflict of Interest Certification in myResearch between May 1st and May 31st of each year:

1. Faculty members that participate in funded research;
2. Staff that meet the definition of Investigator and are named on a current funded project or proposal submitted within the last year;
3. Staff named as study personnel on IRB submissions that have current or pending funding.

Funded research is defined as:

- Externally supported activities for University programs, projects, activities and services, solicited and unsolicited, including gifts and donations specifically made to support the activities of identified individuals;
- Internally supported activities, where support is granted following formal application to a University program in response to a request for proposals (e.g., Targeted Research Opportunity 'TRO' Grants); and
- Internally supported research activities for the benefit of an external entity (e.g., non-funded research projects where deliverables such as reports/data are provided to an external entity)

If you have any questions regarding the Annual Conflict of Interest Certification or the Investigator Conflict of Interest Policy (P209), please contact [Susan Gasparo](#) at 2-1954.

Updates to our Human Research Protection Program

NOTE: Where this update refers to the HRPP Standard Operating Procedures (SOPs), the document can be found here: <https://research.stonybrook.edu/human-subjects#human-subjects-standard-operating-procedures>

Important Reminder regarding the new NIH Policy on Certificates of Confidentiality; Effective date 10/1/17

As you have been notified by ORC in the past, the National Institutes of Health (NIH) changed its policy on Certificates of Confidentiality (CoC) with respect to certain ongoing (as of 12/13/16) or new research funded by NIH. Such research will now automatically be covered under a CoC: If you are either applying for, or have current, funding from the National Institutes of Health, and the activity involves research with human subjects, human data, and/or human tissue, it is VERY likely that the activity is now (automatically) covered under a certificate of confidentiality.

Per <https://humansubjects.nih.gov/coc/NIH-funded>, and our new SOP Section 17.17 'Certificates of Confidentiality': All ongoing or new research funded by NIH as of December 13, 2016 that is collecting or using **identifiable, sensitive information** is automatically issued a CoC.

Identifiable, sensitive information is defined as: *information about an individual that is gathered or used during the course of biomedical, behavioral, clinical, or other research, where either an individual is identified or "for which there is at least a very small risk, that some combination of the information, a request for the information, and other available data sources could be used to deduce the identity of an individual".*

Compliance requirements are outlined in the NIH Grants Policy Statement, which is a term and condition of all NIH awards, and applies to NIH Grants, Cooperative Agreements, R&D Contracts, Other Transaction Awards, and NIH's own intramural activities. Note that NIH will no longer issue a physical certificate. Your Notice of Award and the NIH Grants Policy Statement is the documentation of CoC protection.

How do you know if your NIH funded research project is covered by a CoC? The activity is covered if the research involves the collection or use of "identifiable, sensitive information" (defined above) that:

- Meets the definition of human subjects research, including exempt research in which subjects can be identified
- Is collecting or using human biospecimens that are identifiable or that have a risk of being identifiable
- Involves the generation of individual level human genomic data
- Involves any other information that might identify a person

If your research meets any of the above criteria then your research data or information is automatically protected by a CoC from NIH.

Two REALLY IMPORTANT things you need to do if you now realize your research is covered under a CoC:

1. Researchers with a CoC must KNOW that they may ONLY disclose identifiable, sensitive information (any of the information/study data addressed above) in the following circumstances:

- if required by other Federal, State, or local laws, such as for reporting of communicable diseases
- if the subject consents; or
- for the purposes of scientific research that is compliant with human subjects regulations

AND

- you must ensure that anyone who is conducting the research as a collaborator or sub awardee or otherwise receives a copy of identifiable sensitive information protected by the policy understands that they are also subject to the disclosure restrictions, even if they are not funded directly by NIH.

2. Where there is human subject involvement and an informed consent requirement, the process and documentation must contain notice to the participant of the protection that the CoC affords their data and biospecimens. NIH has provided template language for use <https://humansubjects.nih.gov/coc/suggested-consent-language> and the Consent and Permission Templates in IRBNet have been updated to reflect this new language. **Note that any placement of study data and consent/authorization documents in the subject's medical record is not covered under the CoC (although privacy protections under HIPAA remain) and the subject consent form needs to advise subjects of—and they need to consent to-- that disclosure.**

3. For NIH-funded studies involving human subjects and a consent requirement that have been active since December 13, 2016, you will want to submit an amendment to add the template language above as soon as possible. If you have consented subjects since the Policy effective date (10/1/17), you will submit with your amendment your plan for notifying such subjects, i.e., either re-consenting them with the updated information, or sending them notice of the added protection under the CoC. **Be sure to maintain documentation that this was done.**

As referenced above, we have added a special topic to our SOPs, Section 17.17 ("Certificates of Confidentiality) which provides full detail on how to comply with the new policy.

NOTE: This new policy still permits for applications for CoC protection for research not funded by NIH; that has not changed, and instructions for doing so may be found [here](#): ("Select your funder").

Reminder regarding the use of the 'short form' in your consenting process

CORIHHS expects that if you are approved to use the short form as documentation of the consent process, you have reviewed, and will be compliant with, the specific requirements in the SOPs (Sections 5.7 [Documentation of Informed Consent] and 17.8.2 [Consenting a Non-English Speaking Subject]). Consistent with the ethical principle of 'respect for persons', as well as FDA guidance, these requirements also include the need for the subject to receive a copy of the IRB-approved (English) version of the consent form, translated into their native language, as soon as possible (no later than 2 weeks) after their enrollment into the study. This is in addition to the copy of the (English) summary and a copy of the short form used during the actual consenting process.

AAHRPP Site Visit Scheduled for June 11th and 12th!

We are awaiting notification from AAHRPP regarding the lucky individuals whom AAHRPP has chosen to

interview. Once we know, we will be contacting you to set up a short interview preparation session so that you can be 'in the know', and confident during the experience.

Reminder: ORC's Consent Process Monitoring Program

Have you received initial or continuing IRB/CORIHS approval recently? If yes, you may have noticed a new section on your approval letter. It states:

"When you are ready to schedule and undergo the consent process with your first post approval subject, please contact [Mary O'Neill](#) to coordinate having her present to witness your consent process. This process is part of our ongoing effort to ensure maintained quality in our human research protection program."

So, if you are still in the accrual phase of your research, please let Mary know (if at all possible), when you will be consenting your next research subject, so she can observe your consent process. Together, we need to make sure that the people who volunteer in our research activities get all the information they need to make a decision regarding participation, in an understandable, unrushed manner.

Want to help ensure faster IRB approval for your study?

One certain way is to be sure, before your new or continuing study is submitted in IRBNet, that the PI and all study personnel listed on the registration form:

- Have submitted in myResearch their annual financial disclosures as soon as possible when the announcement goes out May each year.

and

- Are current in their training requirements, including:

- o CITI course in human research protections (every 3 years)-Everyone

- o CITI course on Clinical Research Coordinators (CRC; one time) for Study Coordinators on file with the Office of Clinical Trials

- o CITI course on Good Clinical Practice (GCP; every 3 years) for NIH-funded investigators and clinical trial site staff who are responsible for the conduct, management and oversight of NIH-funded clinical trials

Questions or concerns regarding any aspect of this update may be directed to [Dr. Margaret McNurlan](#) Interim Assistant VP for Research Compliance, 2-9036.

News from OSP

Office of Sponsored Programs

OSP Monthly Bulletin Registration

As mentioned in the fall issue of the OVPR Newsletter, starting November 2017, our office has launched a monthly Bulletin which is used as a tool to communicate additional OSP news, reminders and updates with the research community. The Bulletin is disseminated on an opt-in basis through subscription to our [listserv](#). Anyone interested can register at any time. Earlier releases can be viewed [here](#).

NSF Updates

Changes to NSF Fastlane Registration

NSF requires new users for NSF Fastlane and Research.Gov to register themselves directly via the link below. OSP no longer has the ability to create registrations, however, the Office for Sponsored Programs will be notified via email and approve user roles once registered. Click this [link](#) to register new users.

Link to NSF registration has changed

Those with existing Fastlane accounts will be migrated automatically and will be re-directed to Research.Gov upon logging in. All existing users will be asked to verify their information via a one-time operation when logging in for the first time. Once logged in, they may access Fastlane by clicking on the link labeled Proposals, Awards & Status on the Research.Gov page. This appears to be different, however, it will bring the user into the familiar Fastlane environment.

Those with multiple accounts will be contacted by NSF to consolidate their accounts and will be provided with a 30 day grace period. After 30 days, if they have not consolidated their accounts, NSF will not permit the submission of proposals until they have done so.

More information and tips can be found [here](#).

Research.Gov launches for the submission of certain NSF proposals

Beginning April 30th, 2018, NSF is allowing users to prepare and submit full, non-collaborative research proposals through their Research.Gov system. Fastlane can still, however, be utilized for the preparation and submission of all proposals types. Please keep in mind that proposals initiated in the new system will not be available in Fastlane and proposals prepared in Fastlane will not be available in the new system. Research.Gov will be rolling out its capabilities incrementally and will expand its features until it eventually replaces Fastlane. The new functionality provides the ability to create, submit, track, and update proposals associated with active NSF funding opportunities and furthers NSF's goal to provide quick access to proposal information and grants management services in one location.

There will be no impact on Grants.gov and Applications Submission Web Services (ASWS) as NSF will continue to support those submission methods.

Additional information on Research.gov and Proposal Preparation/Submission can be found [here](#).

NIH Updates

A Reminder for NIH FORMS-E

Most FORMS-E parent announcements are available. Many activity codes now have two parent announcements – one designated as Clinical Trial Not Allowed and one designated as Clinical Trial Required. Don't forget to double-check the participating organizations since institutes may not participate in both. In addition to using ASSIST for proposal submissions to NIH, the FORMS-E packages must be used for NIH submissions with deadlines on or after January 25, 2018. The general instructions for using these forms can be found on the NIH website here. Please make sure not to use a combination of the D and E forms as this will cause errors in your package.

NIH Matchmaker

NIH **RePORTER**'s Matchmaker has been enhanced to easily identify NIH Program Officials to your specific area of research. After entering your scientific text, **Matchmaker** will analyze the terms and concepts of up to 500 project, and provide charts with a new Program Official tab to identify the program officials to those projects. More information can be found [here](#).

Guidance on Salary Limitation for Grants and Cooperative Agreements FY 2018

Notice Number: [NOT-OD-18-137](#)

The Office of Personnel Management has recently released new salary levels for the Executive Pay Scale. Effective January 7, 2018, the salary limitation for Executive Level II is \$189,600.

News from HRS

Human Resource Services

HSC HRS Office

HRS is pleased to announce that Brian Krempa, our Senior Recruiter, will be available in the HSC HRS Office on Mondays and Thursdays, beginning Thursday, April 5, 2018. Brian is enthusiastic about providing guidance and more immediate assistance on your HR recruitment and employment initiatives. Our HSC HRS Office is located on Level 4, Room 146. Brian can be reached at brian.krempa@stonybrook.edu.



Research Foundation Summer Appointment

The defined summer period for research faculty begins May 28, 2018, and ends August 24, 2018. These dates apply to anyone with an academic year obligation.

For summer only Project Aides (non-SUNY students) and positions without an academic year obligation, the Research

Foundation has defined the period of employment to be no more than 4 months and must be between May 1 and September 30.

Complete guidelines for Research Foundation Summer Appointments can be found on the Human Resource Website, <https://www.stonybrook.edu/hr/misc/summer/index.shtml>

Research Foundation Graduate Students and Fellow Health Insurance Change

Effective May 1, 2018, the health plan will change from POMCO to UMR. Actively enrolled RAs and Fellows should have received new ID cards in April. As of May 1, 2018, all actively enrolled RAs and Fellows will begin using the UMR card they have received.

If the new ID cards have not been received by May 1, 2018, all active RAs and Fellows should contact UMR directly at 800-826-9781.

If you have any questions or concerns, please feel free to contact the HRS Benefits department at 631-632-6180.

HRS Q&A

What is a Toll Professorship?

The John S. Toll Professorship provides an opportunity for tenured faculty and librarians to hold a special post-retirement assignment. Toll Professor appointments allow the University to retain the important experience and contributions of valued colleagues while also enabling Toll Professors to adjust the level of their responsibilities through a post-retirement part-time appointment. Details can be found [here](#).

If I retire from State service, but I'm a PI/Co-PI on a grant can I be hired as an RF employee?

A faculty member who is fully self-funded, including fringe benefits and indirect costs, on an externally sponsored and competitively awarded grant, on which they are named as the PI/Co-PI, may be hired on RF for the period of their fully funded grant.

This appointment is subject to University policies and procedures, the Policies of the Research Foundation for SUNY and sponsor requirements.

News from OGM

Office of Grants Management

Subcontract and/or Subaward Invoice Approvals

Payment of invoices for subcontracts and/or sub-awards to collaborating institutions must be approved by the (SBU) Principal Investigators to indicate that payment is acceptable. The OGM Grants Management Specialist will email invoices presented for payment with a statement that indicates the following:



The sub-recipient has demonstrated satisfactory performance and progress, and the charges represented on this invoice appear to be appropriate with that progress. As Principal Investigator, I approve this payment.

In turn, Principal Investigator approval is provided as an affirmative email response.

As this certification includes concurrence with programmatic activities it is not appropriate for this approval to be delegated to another expenditure delegate. Specific questions or concerns regarding this requirement should be directed to [Sheila Routh](#), OGM 2-9107

Determining Allowable Direct Costs

As outlined in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, (2 CFR 200), all charges against federal awards must fundamentally fulfill the scope of research goals, and therefore be, **necessary, reasonable and allocable**. The Office of Grants Management's approval of direct costs in accordance with these requirements is to ensure that when audited, the charges have been properly reviewed to meet these standards.

The cost items noted below are specifically not allowed as described (2 CFR 200 Subpart E):

- The cost of alcoholic beverages is never allowed.
- Unless the terms of an award specifically include the need to provide food or meals to meet project objectives, AND clearly indicates that food is allowed, for example, conference awards, food and meal expenses are not allowed.

Requests for reimbursement for food, including lunches and dinners, will not be approved.

Any questions regarding this information should be directed to [Sheila Routh](#), OGM 2-9107.

Fringe Benefit Rates for State Salary Offset (IFR)

Salary Offset expenditures (SUNY IFR), recover the cost of the effort by participating faculty, as well as the corresponding New York State SUNY IFR (NYS) fringe benefit costs. The Office of New York State Controller (OSC), determines the annual fringe benefit rate that is to be used when charging faculty effort to sponsored research awards as a reimbursement to the department responsible for that NY State employee.

Once a salary offset transaction is approved by OGM, and is entered into the Research Foundation business system, the encumbering process will calculate the cost of the salary, plus the fringe benefits, using the current SUNY IFR (NYS) rate.

When budgeting for salary offset charges that overlap with the fiscal period end, 6/30, be advised that the SUNY IFR (NYS) fringe benefit rate will change once RF for SUNY is notified by OSC, which typically does not occur until May of each fiscal year. Once updated to reflect the approved rate, the anticipated costs beyond 6/30 will re-encumber using the new rate. Actual charges will be recovered in accordance with the current rate, and we do not have the ability to override the recovery of these costs.

For more information, click [here](#).

Federal Award Close-out Deadline

Federal funding agencies mandate that universities submit all close-out reports and requests for payment, no later than 90 calendar days, following the end date of the performance period.

In addition to all programmatic reporting, all final costs must be included in financial closeout reports, to ensure full reimbursement to the university, and funding agencies are now suspending our ability to draw down cash immediately at the 90-day mark.

For this reason, the Office of Grants Management (OGM) requires that all transactions must be posted to awards within 75 calendar days of project end date. In addition, sub-award final invoices must be received from flow-through entities within 45 calendar days of project end date.

This also serves as a reminder that expenditures in the final 30 days of an award are highly scrutinized to be sure they are for costs that are absolutely necessary for the benefit of the funded research. It is not permissible to order items in these final weeks in an attempt to spend down balances or move costs from another award to spend down the balance.

Research Foundation Report Center Training is available to all PI's and their administrative staff. These sessions allow for review of the award expenditures, how to identify open purchase orders that require attention, and how to determine available balances throughout the life cycle of the award.

To schedule RF Report Center Training, contact [Cindy Traub](#) at 2-4886.

News from Stony Brook Medicine



Free Support and Guidance for Our Translational Research Community

An idea in the laboratory is only as good as its impact on patient health. Now those ideas can reach patients even sooner thanks to Stony Brook Clinical and Translational Science (SB-CTS). Our community of experts is available to provide free infrastructure, services and training to Stony Brook Medicine investigators, coordinators and assistants to help ensure a more effective and efficient clinical and translational research process — one that leads to the development of discoveries into new drugs, tools and methods to improve the health of patients in Suffolk County and beyond.

By helping the way in which we conduct research, Stony Brook Medicine researchers will be more successful in obtaining more grants and awards in the future.

Our full-service and integrated clinical translational research capabilities include:

- Biomedical Informatics
- Community and Collaboration
- Translational Endeavors
- Research Methods
- Network Capacity

Current SB-CTS support initiatives include:

- Investigational New Drug Support
- Clinical Trials and Recruitment Support
- Biostatistician Assistance

For more information about our services, contact sbcts@stonybrookmedicine.edu or (631) 638-3087.

medicine.stonybrookmedicine.edu/cts

New Awards

For a list of all new awards between January 15th, 2018 to April 14th 2018, please click [here](#).
Congratulations to all the investigators.

Note: only awards funded with a total amount of \$50,000 or greater are listed.

Contact

To connect with a member of the
OVPR Communications team, please email
ovpr_communications@stonybrook.edu

Find us on the Web
www.stonybrook.edu/research